



Clima East: Support to Climate Change Mitigation and Adaptation in ENP EAST Countries and Russia

EuropeAid/132127/C/SER/Multi

National Work Programme for Ukraine

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For Partner Country review

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List of Abbreviations:

ADP	Ad Hoc Working Group on the Durban Platform for Enhanced Action
APA	Ad Hoc Working Group on the Paris Agreement
AA	Association Agreement between the EU and some Partner countries (GE, MD, UA)
BMUB	Bundesministerium für Umwelt, Naturschutz, Bau und Reaktorsicherheit
BNS	Bureau of National Statistics
BR	Biannual Report
CA	Competent Authority
C	Core Action
CFE	Climate Forum East II
CC	Climate Change
CSO	Civil Society Organisation
CLIMA	DG Climate Action
COP	Conference of Parties (UNFCCC)
DEVCO	DG Development and Cooperation
DG	Directorate General of European Commission
EaP	Eastern Partnership
EBRD	European Bank for Reconstruction and Development
EC	European Commission
EF	Expert Facility
ENP	European Neighbourhood Policy
ENPI	The European Neighbourhood Policy Instrument
EU	European Union
EUR	Euro
ETS	Emissions Trading Scheme
FLEG	The ENPI Forest Law Enforcement, Governance Program “Improving Forest Law Enforcement and Governance in the European Neighbourhood Policy East Countries and Russia”
F-Gases	Fluorinated Gases
FP	Focal Point
GHG	Greenhouse gas
GIZ	Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH
IED	Industrial Emissions Directive
INDC	Intended Nationally Determined Contribution
IPCC	Intergovernmental Panel on Climate Change
IPPC	Integrated Pollution Prevention and Control (IPPC)
KE	Key Expert
KP	Kyoto Protocol
LEDS	Low Emissions Development Strategy
LULUCF	Land Use, Land Use Change and Forestry
MRV	Monitoring, Reporting and Verification
MENR	Ministry of Environment and Natural Resources
MoU	Memorandum of Understanding
NEAR	DG Neighbourhood and Enlargement
NAMA	Nationally Appropriate Mitigation Actions
NAS	National Adaptation Strategy
NDC	Nationally Determined Contribution
NGO	Non-Governmental Organisation
NKE	Non Key Expert
NOx	Nitrogen Oxide
ODS	Ozone Depleting Substances
PMR	Partnership for Market Readiness (World Bank)
PC	Partner Country (Clima East Partner Country)
SO2	Sulphur Dioxide
ToR	Terms of Reference
UA	Ukraine (used as an abbreviation within Clima East reference numbers)
UNDP	United Nations Development Programme
UNFCCC	United Nations Framework Convention on Climate Change
USAID	United States Agency for International Development
WB	World Bank

Introduction

Background information on the Clima East Policy Project

The Clima East Policy Project (the Project), is funded by the European Union (EU) to help Armenia, Azerbaijan, Belarus, Georgia, Moldova, Ukraine and the Russian Federation¹ (the Partner Countries/PCs) become prepared to reduce greenhouse-gas emission and to deal with the impacts of climate change. It also aims to facilitate dialogue in this area between the EU and the partner countries. The Policy Project (budget EUR 8.2 million) constitutes one part of a larger Clima East package, which also includes a separate Pilots Project (budget EUR 11 million), which supports the development of ecosystems-based approaches to climate change. The specific objective of the Clima East Policy Project is to foster improved climate change policies, strategies and market mechanisms in the Partner Countries that are more in line with the EU acquis, by supporting regional cooperation and improving information-access to EU climate change policies. Activity for each year is organised within Core Action areas to provide strategic focus for support. Within this structure, the programme offers national and regional activities appropriate to the needs of each Partner Country and the cooperation priorities of the EU and the Partner Countries.

For 2016, the proposed Core Action structure is as follows.

Core Action	Description
C1	<p>Paris Agreement implementation and UNFCCC engagement support</p> <p>Building on the analysis of INDC preparation support put together by Clima East in 2015, this priority focuses on supporting implementation of the outcomes of COP.21</p> <ul style="list-style-type: none"> • Translating Paris Agreement elements and commitments into national policies and programmes (including engagement with sectoral ministries to further build competence, especially where cross-government engagement was limited during the preparation period) • Supporting a focus on AA compliance (where relevant) • Support on integrating Paris outcomes into other ongoing processes (such as LEDS), if relevant. • Engagement in the process for the Paris Agreement delegated/follow-up decisions to be taken at COP 22 Morocco (December 2016) and beyond.
C2	<p>Mainstreaming</p> <ul style="list-style-type: none"> • Engagement on opportunities to further support (I)NDCs, LEDS, NAPs and high-level/cross-government planning. • Continuing to support existing and emerging requests for sectoral climate action planning • Arranging sectoral seminars or webinars where sectoral clusters emerge across the region (e.g. water/forestry/agriculture/ transport/health/DRR, to exchange lessons (participating in suitable fora organised by other projects or bodies).
C3	<p>MRV support</p> <p>Where requested, national programmes of support for building understanding and consensus for implementation of effective MRV systems, or coordinated contributions to complement existing support from other sources.</p> <p>National programmes to be differentiated by priority focus of PC government (e.g. UNFCCC focused and/or ETS (large emitter) focussed) etc.</p>
C4	<p>Support for capacity building in GHG modelling and vulnerability assessment</p> <p>Support in use of GHG models to address any revisiting of data for INDC and/or implementation Continued support in upgrading Vulnerability Assessment systems and capacity, through identification of relevant system and support in access, adoption and training in use.</p>
C5	<p>Association Agreement implementation support</p> <p>Continued support for the implementation of climate-related directives, in particular within climate action related Chapters or sections, as specified in the AAs with Georgia, Moldova and Ukraine. (Advice and focus on AA compliance throughout all other Core Actions)</p>

¹ As from 1 January 2015 Russia only participates in applicable regional activities.

Basis for planning of Clima East Support in 2016

This Workplan describes the planned activities for Ukraine for 2016. They reflect developments in the context of UNFCCC, in particular the “Paris Agreement”², partner country developments and priorities, and the priorities for bi-lateral cooperation between Partner Countries (PCs) and the EU. The activities have been planned to fit in with the activities³ of other donors to maximise the potential synergies and avoid duplication. Section 1 covers technical support to be made available through programmed core activities. It also covers Expert Facility (EF)⁴ assignments being implemented, or for which proposals are currently being prepared or could be foreseen in response to the needs raised by PC stakeholders. Section 2 presents key project oversight, management and communications activities not covered in Section 1.

The identification of technical support is based on consultations held with Ukrainian Government representatives on 30 July, 24 November 2015 and 21-22 March 2016 and follow up communications including update on plans of other technical assistance programmes in the area of ETS support and the possible role of the Clima East Policy Project.

It also reflects applications recently submitted for the Expert Facility (EF). Ukraine has stated its highest priority needs as support for implementation of climate relevant commitments under the Association Agreement, particularly Directive 2003/87/EC on ETS, the implementation of Paris Agreement, capacity building on UNFCCC reporting, support relating to the National GHG Registry, improving the quality of the National GHG inventories and subsequently the policy formulating and implementing capacities of the government.

The project activities are also aligned with Ukraine’s climate relevant national priorities and are geared towards their implementation. These include:

- a) [Order of the President of Ukraine dated 12.01.2015 № 5/2015](#) “On the Strategy of sustainable development “Ukraine – 2020””.
- b) [Law of Ukraine "On the main principles \(strategy\) of the State Environmental Policy of Ukraine till 2020"](#) N 2818-VI dated 21 December 2010 and the corresponding Resolution of the Cabinet of Ministers of Ukraine “On Approval of the Environmental Protection Action Plan for the period of 2011-2015” dated May 25, 2011 No. 577
- c) [Paris Agreement](#) ratification and implementation of the [INDC](#) encompassing the EU-Ukraine Association Agreement’s climate-related provisions
- d) The EU-Ukraine Association Agreement’ (Chapter 6 (Environment), Art 361-365; Annex XXX, XXXI) and its implementation through the [Regulation of the Cabinet of Ministers of Ukraine of 17 September 2014](#) № 847-p “On the Implementation of Association Agreement between the European Union and the European Atomic Energy Community and their member states, of the one part, and Ukraine, of the other part”
- e) Implementing the mandates as established by the [Decree of 14 April 1999 No. 583](#) “[On Inter-agency commission on ensuring implementation of the United Nations Framework Convention on Climate Change](#)” (as amended in 2015).
- f) Plan of priority measures for adaptation to climate change in 2012 accepted by [Order of State Environmental Investment Agency of Ukraine](#) on July 12, 2012. (tasks transferred back to MENR upon dissolution of SEIA)

² Full text available at UNFCCC: English version: <http://unfccc.int/resource/docs/2015/cop21/eng/l09r01.pdf>
Russian version: <http://unfccc.int/resource/docs/2015/cop21/rus/l09r01r.pdf>

³ The regularly updated national and regional focused donor activity summaries are available on our website’s “partner countries” section: <http://www.climaeast.eu/>

⁴ Please note that implementation of new Expert Facility applications will be subject to approval by the European Commission, and will require timely cooperation of the Beneficiary in developing the Application and Terms of Reference documents, and timely approval by the relevant authorities, including provision of No Objection by the Focal Point.

1. Detailed activity description under Core Actions C1-C5

C1 Convention and Paris Agreement implementation support		
<p>Background and relevant national priorities:</p> <p>The Paris Agreement (PA) requires accelerated national action in preparing comprehensive plans for achieving the 2030 goals set out in the INDCs. It calls for increased ambition regarding the reduction of emissions prior to 2020 and for countries to revisit NDC targets and review the potential both for strengthening them and for developing and communicating long term targets before 2020. It also foresees an enhanced transparency system, requiring improved MRV in all climate related areas. Activities undertaken prior to 2020 and those planned for Paris Agreement timeframes (post 2020) must be carefully coordinated and must be compliant with the Convention and the provisions of the Agreement.</p> <p>Ukraine formed an ad-hoc cross-governmental INDC Working Group (led by Ministry of Environment and Natural Resources(MENR) in spring 2015, and prepared its INDC during 2015 predominantly supported by UNDP (with some specific, sector oriented support from Clima East and USAID). Following submission of its INDC and COP.21, MENR and the “Inter-agency Commission on ensuring implementation of UN Framework Convention on Climate Change” now have the mandate to coordinate the preparations for the implementation of the (I)NDC. The project support will focus on the key priorities as defined in the submitted INDC and facilitate the formulation of the respective sectoral and inter sectoral climate actions, policies and measures in line with applicable provisions both of the Paris Agreement and of Ukraine’s Association Agreement with the EU, as well as sectoral strategies (the full climate relevant legislation is listed on the Clima East website). Ukraine is currently receiving support from other donors for the process of its LEDS updating/creation (USAID MERP), for the creating of an MRV system in line with the AA provisions related to Directive 2003/87/EC on ETS (BMUB-GIZ; World Bank); these activities are closely linked to the implementation of the (I)NDC. The full review of donor projects is available on the Clima East website, Clima East project activities will be closely coordinated with these programmes.</p> <p>Clima East will support the Ukrainian authorities in assessing next steps following the Paris Agreement, in consultation with national stakeholders and the EU, to identify requirements for implementation in an AA compliant manner, through a national workshop in Kiev with selected stakeholders. It will continue support to Ukrainian stakeholders in preparing for participation in UNFCCC discussions and negotiations on the Paris Agreement through two final workshops in the series of regular regional preparatory workshops for negotiators. The workshops will serve as a platform for a dialogue between Ukraine and the EU, as well as for communication among Eastern Neighbourhood countries, to facilitate coordination in the context of international climate negotiations by discussing key issues of importance to the countries involved.</p>		
ACTIVITIES	RESULTS	PARTNER COUNTRY OUTCOME TO BE SUPPORTED
<p>NATIONAL ACTIVITIES⁵</p> <ul style="list-style-type: none"> • UA.1.N - National workshop in Kiev for selected cross-government stakeholders to raise awareness on main requirements of Paris Agreement / Ukraine’s NDC, next steps on implementation and further negotiations in late June or July 2016 engaging the new government’s high level representatives. 	<ul style="list-style-type: none"> • National workshop participants informed on Paris Agreement, implications for Ukraine and implementation steps. • Awareness in selected sectors increased of how to contribute to Paris Agreement implementation, in line with AA requirements • Dialogue facilitated between negotiators and DG Clima on key Paris outcomes and negotiation issues (see also MRV support C3 contributing to the Paris Agreement transparency requirements); • Negotiators equipped with in-depth understanding of key negotiating issues important for the EU and Ukraine; surge support to investigate issues at UNFCCC events that cannot be dealt with by negotiating team. • Report summarising current finance opportunities including market options for all PCs 	<ul style="list-style-type: none"> • Contribution to more effective engagement with Paris Agreement implementation and enhanced cooperation between Partner Countries and the EU • Progress in decision-making and planning for Paris Agreement implementation at the national level • Wider participation by other sections of government (beyond MENR) • Alignment of policies with Association Agreement • Improved skills and knowledge base of climate change staff and technical negotiators; • Improved understanding of EU follow-up to Paris Agreement
<p>ACTIVITIES UNDER REGIONAL WORKPLAN</p> <ul style="list-style-type: none"> • R1 - R1 - Regional UNFCCC Ad Hoc group on Paris Agreement implementation workshop (21st – 22nd June 2016) in Bratislava, with Slovakian EU Presidency. • R2 - Regional UNFCCC pre-COP22 workshop (October 2016). • R3 - Support for exploring possibilities of climate finance and using new (market) mechanisms proposed in the Paris Agreement (Art 6.2, 6.4) and available within EU (such as ENI):-a) report summarising current finance opportunities, incl. market options (KE1,2 and short-term NKE); b) and c) discussion session on subject at each regional post/pre-cop (June, October) and at post-Paris Agreement national events. 		
<p>APPROVED EF None in this area</p>		
<p>PROPOSED EF APPLICATION - None to date in this area</p>		

⁵ The Clima East Helpdesk remains open for support on specific queries relating to implementation of UNFCCC/PA/NDCs and related finance or sources of support: ad hoc consultations, reference materials and recommendations. The Key Expert Team can be reached through: <http://www.climaeast.eu/help-desk>

C2 Climate Action Mainstreaming and Supporting National Climate Bodies

Background and relevant national priorities:

Mainstreaming entails engaging actors whose main tasks are not directly concerned with climate change in working to attain climate change goals. The implementation of the Paris Agreement and the INDC will require a multi-sectoral and integrated natural resources management approach with wide stakeholder participation. Raising awareness of climate issues for each policy area is the first step. This needs to be followed by measures to integrate adaptation and mitigation into policy formulation in virtually all sectors. Government bodies beyond the Ministry of Ecology and Nature Resources need to be involved not only to implement climate policies, but also to ensure proper reporting to the UNFCCC Secretariat. Preparation of the relevant reports requires information and data from sectoral ministries, institutes, regional authorities, and the National Statistics Committee. The Inter-Agency Commission on implementation of UNFCCC plays the key role in the coordination process.

Ukraine does not currently have overarching climate policy or strategies such as a NAP or LEDS in place, though historic (UNDP) and current (USAID MERP) LEDS work and the INDC create a basis for such overarching policies in future. Ukraine has rather approached climate issues through sectoral policies and plans, and is receiving relevant support in some sectors, such as in energy from (EC; INOGATE etc), agriculture (EC; ENPARD) land and forest management (GEF-UNDP and EC; FLEGII), and water and flood management through EC, GEF-UNDP and other donors as listed on the Clima East website. The Ukrainian authorities have expressed interest in receiving support in further strengthening of strategic decision-making on climate issues, which will be delivered through Expert Facility support this year (CEE2015-063-UA).

In addition to supporting coordination Clima East will also support planning for specific sectors linked to shared priorities across the Clima East region (wetland ecosystems and water sector, and transport sector, and potentially in the energy and agricultural sectors). See also relevant support under C5, Association Agreement implementation support. The project will provide specific support building understanding specifically of the needs and mechanisms for climate planning and proofing within these sectors.

ACTIVITIES	RESULTS	PARTNER COUNTRY OUTCOME SUPPORTED
<p>NATIONAL ACTIVITIES⁶ None foreseen.</p>	<ul style="list-style-type: none"> • CoMO and CFEII participants consulted to facilitate understanding of options for involvement of partners outside central government in mainstreamed climate policy formulation. Document reviewing strategic decision-making system for climate action • Technical advice and improvements of sectoral policies, and climate action implementation in line with finalised ToR for EF activities. (If EF support approved) • Precise Outputs to be specified in applications 	<ul style="list-style-type: none"> • Improved coordination and reporting capacity for climate policy • Improved capacity to deliver on international and national obligations including under the Association Agreement and INDC implementation • Legislation/regulations and practices improved in the LULUCF and ecosystems in forestry, transport, and potentially energy and agriculture sectors
<p>ACTIVITIES UNDER REGIONAL WORKPLAN</p>		
<ul style="list-style-type: none"> • R4 - Coordination with regional and civil society action through CFEII and Covenant of Mayors with the aim of bringing together the national and sub-national climate change actors and improving national legislation enabling sub-national climate action 		
<p>APPROVED EF</p>		
<ul style="list-style-type: none"> • CEE2015-063-UA Assessment of the system for taking strategic decisions on climate change in Ukraine (MENR, Supreme Council, NECU) ToR under development • CEE2015-067-UA Development of concept of low-carbon development of land use and wetland ecosystems restoration (request by Ministry of Agriculture) ToR under development • CEE2015-043-UA Proposals for developing road transport policy for reducing CO2 emissions and fuel consumption (requested by Ministry of Infrastructure) Under implementation. 		
<p>SUPPORT FOR DEVELOPMENT OF NEW EXPERT FACILITY APPLICATIONS IN THE FOLLOWING AREAS</p>		
<ul style="list-style-type: none"> • UA-EFAPP-1 Assistance in development of inventories and reporting of hazardous (SO2, NOx, dust) and greenhouse gas emissions from large combustion plants (CEE2015-061-UA requested by the Ministry of Energy and coal industry, not approved - Application potentially to be reformulated with MENR involvement to further clarify climate action relevance) • UA-EFAPP-2 Development of a Concept for National Strategy on adaptation of agriculture to climate change (new EF application is expected from the Ministry of Agricultural Policy) <p><i>(Support for implementation subject to European Commission approval and budget availability)</i></p>		

⁶ The Clima East Helpdesk remains open for support on specific queries relating to climate action mainstreaming: ad hoc consultations, reference materials and recommendations. The Key Expert Team can be reached through: <http://www.climaeast.eu/help-desk>

C3 MRV Support		
<p>Background and relevant national priorities: To manage GHG emissions, countries have to design and implement MRV systems for GHGs at the respective installation/sectoral/national levels in line with their national circumstances and the emerging Paris Agreement and existing Convention obligations. Ukraine has been working to implement elements of the Emission Trading Directive and is receiving support from a number of donors (World Bank PMR; BMUB-GIZ) in building its MRV system, with projects starting in 2016. MENR has identified certain gaps in the support to be provided by these projects and has asked Clima East to provide assistance in these areas. In order of priority these are: (1) Drafting the framework ETS law (see details under C5); (2) Assistance with establishing the Competent Authority (see details under C5); (3) Sectoral MRV training in coordination with World Bank PMR and GIZ; (4) Expert contribution and possible co-organisation of a National ETS conference. These priorities are reflected in the table below and also under C5. Ukraine is also active in improving its National GHG inventory, and has submitted a number of EF request related to sectors such as transport and forestry/LULUCF where intervention is particularly needed.</p>		
ACTIVITIES	RESULTS	PARTNER COUNTRY OUTCOME TO BE SUPPORTED
<p>NATIONAL ACTIVITIES⁷⁸</p> <ul style="list-style-type: none"> • UA.2.N Provision of MRV training in ETS specific sectors (within overarching support provided by PMR and GIZ) if requested by MENR⁹. • UA.3.N Co-organisation and provision of expertise for MENR-led conference on ETS MRV involving government, industry and public stakeholders, in collaboration with PMR and GIZ. 	<ul style="list-style-type: none"> • Provision of technical training in specific selected sectors to relevant stakeholders to participate in ETS MRV, with coordinated with proposed approaches for country wide implementation of ETS, as supported by PMR and GIZ. • Technical discussion on the potential integration of MRV systems for various substances • Technical advice on GHG inventory improvements in selected sectors. 	<p>Planning for</p> <ul style="list-style-type: none"> • Development of large installation focused MRV system in line with EU ETS (Emission Trading Directive 2003/87/EC) including the identification of and liaison with installations • Improvement of national systems for GHG inventories and reporting under the Convention/Paris Agreement ; • Design of MRV systems for Paris Agreement/INDCs
<p>ACTIVITIES UNDER REGIONAL WORKPLAN</p> <ul style="list-style-type: none"> • R5 Regional workshop to support integrated approaches for MRV systems covering GHGs, (transboundary) air pollutants and Montreal Protocol substances. This builds on project work related to ODS/F-gases support – having an explicit MRV elements - , the integrated (ETS-IED/IPPC) approach under the AA (MD, UA) and bringing together the GHG, air pollutant and Montreal Protocol responsible from within the PCs for sharing their experiences with each other. 		
<p>APPROVED EF</p> <ul style="list-style-type: none"> • CEEF2015-070-UA Development of the GHG emissions inventory in the forestry sector in order to improve national reporting of Ukraine according to the requirements of the UN FCC and the Kyoto Protocol. Application approved ToR under development • CEEF2015-065-UA Improving reporting system for carbon storage and emissions accounting from harvested wood products (HWP) in the National GHG inventory(requested by MENR) Application approved ToR under development • CEEF2015-041-UA Capacity building of the national GHG inventory system through development of methodological recommendations for determining national GHG emission factors from the use of motor fuels in the transport sector (requested by MENR) Application approved, ToR under development 		
<p>SUPPORT FOR THE DEVELOPMENT OF A NEW EXPERT FACILITY APPLICATIONS IN THE FOLLOWING AREAS</p> <ul style="list-style-type: none"> • (see C5 for specific MRV related EF applications associated with implementation of the Association Agreement) <i>(Support for implementation subject to approval and budget availability)</i> 		

⁷ The Clima East Helpdesk remains open for support on specific queries relating to the requirements and design options for national MRV systems suitable for the Paris Agreement/(I)NDCs: ad hoc consultations, reference materials and recommendations. The Key Expert Team can be reached through: <http://www.climaeast.eu/help-desk>

⁸ Helpdesk support is also available for advice on on registry software on potentially replacing existing (Seringas) registry with a Community Registry Ukrainian tailor-made version.

⁹ To be carefully specific following coordination with other projects and additionality demonstrated.

C4 Support for capacity building in modelling and vulnerability assessment

Background and relevant national priorities:

Improved vulnerability assessment for adaptation purposes and emission modelling for mitigation purposes are specialised areas technical areas where support is predominantly through the expert facility. These activities provide the necessary evidence-based input to “the development and implementation of a policy on climate change and an action plan for long-term mitigation of and adaptation to climate change” as required by the AA.

In Ukraine experience and capacity have been developed, supported by various donors such as GEF, USAID, Clima East on TIMES/MARKAL, LEAP and other emissions modelling systems in which both academia and government (Ministry of Energy) has been actively involved. Vulnerability assessment and climate modelling capabilities have been largely confined to academia and less government engagement has been observed.

Subject to approval of a forthcoming expert facility application, Clima East will support improved vulnerability assessment of plain land forests. It will also provide sectoral support that may highlight needs and foster improvements for improved data generation, modelling and use, under climate mainstreaming and MRV support activities (as supported by C2 and C3).

ACTIVITIES	RESULTS	PARTNER COUNTRY OUTCOME TO BE SUPPORTED
<p>NATIONAL ACTIVITIES¹⁰ None foreseen</p>	<ul style="list-style-type: none"> Partner Countries provided with information on EU and PC approaches to 2050 policy framework formulation, sharing lessons from those who have requested direct support in this area. Short diagnostic note on key issues for improved long-term (2050) planning Increased understanding of operationalisation of climate models and improved use by forestry policy makers and forest managers. 	<ul style="list-style-type: none"> Evidence-based 2050 policy framework. Improved methodological skills and knowledge for climate impact modelling and subsequent adaptation planning
<p>ACTIVITIES UNDER REGIONAL WORKPLAN</p> <ul style="list-style-type: none"> R6 - Regional Workshop to discuss EU and MS approaches to support 2050 policy framework formulation R7 – Brief reviews on key issues for improved long-term (2050) strategy and policy formulation at national level. (KE) 		
<p>APPROVED EF</p> <ul style="list-style-type: none"> CEEF2015-036-UA Supporting capacity building in vulnerability assessment of plain land forests requested by the State Forestry Committee). 		
<p>SUPPORT FOR THE DEVELOPMENT OF NEW EXPERT FACILITY APPLICATIONS None currently foreseen</p>		

¹⁰ The Clima East Helpdesk remains open for support on specific queries relating to emission modelling and vulnerability assessment: ad hoc consultations, reference materials and recommendations. The Key Expert Team can be reached through: <http://www.climaeast.eu/help-desk>

C5 Support for implementation of the Association Agreement

Background and relevant national priorities:

The EU-Ukraine Association Agreement contains a chapter on environment which includes cooperation in the area of climate change. In particular, Ukraine has to approximate its legislation to the Directive establishing a scheme for greenhouse gas emission allowance trading, and the Regulation on certain fluorinated greenhouse gases within 2 years after the provisional entry into force of the Agreement. The Agreement also envisages the development and implementation of a policy on climate change and an action plan for long-term mitigation of, and adaptation to, climate change. There are also several other directives to be implemented by Ukraine aiming at the improvement of air quality. The reduction of conventional pollutants is often accompanied by the reduction of GHG emissions, so the implementation of the air quality legislation is synergetic with climate change policies.

Ukraine is also a Contracting Party to the Energy Community Treaty, under which it has similar obligations to implement EU directives and other legislation, some of which complement the obligations under the AA, including the implementation of Directives on industrial emissions and on the limitation of emissions of certain pollutants into the air from large combustion plants.

Subject to approval by the European Commission Clima East will assist Ukraine with selected tasks in respect of legal transposition of the above-mentioned directives and regulations, and implementation of their respective provisions, as set out below. Support under C1, C2 and C3 will strongly reinforce implementation of the Association Agreement, through support for identifying Association Agreement compliance requirements, mainstreaming climate issues in wider public policy, and through supporting MRV systems to implement Association Agreement commitments on ETS.

Clima East support will be closely harmonised with upcoming EU and MS bilateral support addressing provisions that are not listed in the environment chapter (-and annexes) of the AA, but has clear climate implications from a mitigation and/or adaptation perspective.

ACTIVITIES	RESULTS	PARTNER COUNTRY OUTCOME TO BE SUPPORTED
<p>NATIONAL ACTIVITIES</p> <ul style="list-style-type: none"> • UA.4.N KE and NKE Support in drafting the framework ETS law for parliamentary approval (transposition of directive 2003/87/EC) in coordination with other donor support on multiple regulations required to operationalise the ETS within the framework law to be supported through this activity. 	<ul style="list-style-type: none"> • Technical recommendations and support for transposition of ETS directive focusing on a framework law, under which further technical legislation/regulations will be developed with the support from other donors. (If EF approved) 	<ul style="list-style-type: none"> • Timely implementation of the key climate - related commitments under the Environment Chapter of the Association Agreement
<p>ACTIVITIES UNDER REGIONAL WORKPLAN</p> <p>None foreseen</p>	<ul style="list-style-type: none"> • Review of the EU Member States and Ukrainian policies and tools for using renewable energy sources and alternative fuel 	
<p>APPROVED EF</p> <ul style="list-style-type: none"> • CEEF2015-058-UA Capacity building in development of policy for climate change mitigation through increased use of renewable energy sources. (requested by the State Energy Efficiency Agency)¹¹ ToR under development 	<p>Development of recommendations on legal documents to encourage Ukraine to reduce the negative climate and environmental impacts of conventional fuel and energy resources by replacing them with renewable energy sources and alternative fuels</p>	
<p>SUPPORT FOR THE DEVELOPMENT OF NEW EXPERT FACILITY APPLICATIONS¹²</p> <ul style="list-style-type: none"> • UA-EFAPP-3 Advice on establishing the Competent Authority (CA) in parallel with drafting the law, covering how to create the CA as task leader in coordination with PMR and GIZ support. • UA-EFAPP-4 Assistance in implementation of Regulation (EC) 842/2006 on F-gases. <p><i>(Support for implementation subject to approval and budget availability)</i></p>	<ul style="list-style-type: none"> • Technical recommendations on organisational issues in establishing the CA, covering structure, functions, staff required, interaction with other government bodies, sources of finance (with the target of eventual self-financing) (If EF Approved) • Technical recommendations and Roadmap for approximation and implementation of F-gases Directive (If EF Approved) 	

¹¹ NB – Condition applied by European Commission for support expected to be complied with

¹² EF support set out at C2, (if approved) for the Ministry of Energy and Coal Industry (Technical assistance for development of inventories and electronic reporting of hazardous and greenhouse gas emissions from large combustion plants will also support implementation of the AA and Energy Community obligations

2. Management, oversight and communications

1. Steering Committee Meeting, 2016

The Project brought together the National Focal Points or their representatives from each of the Partner Countries on 21 March for the 2016 Steering Committee Meeting in Brussels. As well as DGs NEAR and CLIMA and Partner Country Focal Points, representatives from other relevant projects attended (EPIRB, OSCE Climate Change and Security in Eastern Europe, Central Asia and the Southern Caucasus projects) to that lessons learned are shared, to coordinate action to be taken on Climate Change Mitigation and Adaptation in the region in 2016, and to identify opportunities to handover ongoing support and to enhance sustainability of the results. The agenda for the Steering Committee included:

- Presentations from the Partner Countries on priorities for action to tackle climate change in 2016 and beyond.
- Presentations from DG NEAR and DG Clima on EU priorities for cooperation.
- Discussion of potential project extension and its implications, results dissemination and sustainability plans.

2. Clima East Team Planning Calls/Meetings

The team will hold bi-weekly planning calls and bi-monthly team meetings through 2016 to assist in effective planning, reporting and close down.

3. 6 monthly Progress Reports for Period 7 and 8

Administrative project progress reports will continue to be elaborated and submitted by the project team to DG NEAR. The 7th and 8th Interim Progress Reports will be submitted during 2016.

4. Donor project coordination

Six monthly updates of donor project summaries will be produced and published on the website. Coordination will be carried out through correspondence and joint meetings with key projects (e.g. various climate relevant EC projects, and WB, UNDP, GIZ and USAID led-initiatives) to ensure effective and complementary work programmes.

5. DEVCO Infopoint event

A lunchtime event presenting Clima East to Brussels stakeholders was held on 18th January 2016.

6. Climate change cooperation – outreach event

If agreed by Partner Country and EU authorities, Clima East will organise a half day public outreach event in each country – initially targeted at civil society and student communities, to present key climate policy issues, EU and Partner Country action and the results of Clima East and other relevant cooperation.

7. Clima East Newsletters

Short bi-lingual newsletters to be issued bi-monthly targeting a wider readership (stakeholder mailing list and the public), and providing updates on key Clima East activities and results and news on climate change issues.

8. Sustainability Stock-take

In July 2016, the team will undertake a sustainability stock-take to analyse at risk results and opportunities to maximise and further embed results and to prepare recommendations for action that could be taken to address these issues.

9. Clima East Results Publication

The project team will also prepare a final publication to disseminate the activities carried out and the results achieved through the Clima East Policy project at the regional and national levels. The document will bring together the lessons learnt through the project for future reference. A proposed outline of the document will be prepared by 15th September and content will be collated over the remainder of the year, with a view to publication in early 2017.

10. Planning for Closing Conference (Q1 2017)

Clima East will organise a conference within the region to mark the formal closure of activities early in 2017. This will bring together key Partner Country stakeholders as well as technical experts who have been involved in the Clima East Expert Facility. Stakeholders from other related projects will also be invited to attend. A proposed agenda will be presented by 15th September.

The event will: present and discuss project achievements and lessons; introduce stakeholders to wider sources of future support; and reinforce the network of national, regional and international relationships fostered by the project.

Key dates of Administrative Management/Oversight Activities 2016

	Task (and location where applicable)	Associated Dates
1	Clima East Policy Project Steering Committee Meeting, 2016, Brussels	21 March 2016
3	6 monthly Project Report for Period 7 (10/ 2015 – 03/2016)	Draft to be submitted to DG NEAR in May 2016
4	Clima East Newsletters	Bi-monthly
5	Infopoint event	18 th January 2016
6	Climate Change outreach event	TBC
7	Outline of Results Dissemination Document and Final Conference	To be submitted to DG NEAR by 15 th September 2016
8	6 monthly Project Report for Period 8 (April 2016 – September 2016)	Early draft to be submitted to DG NEAR by September 5 th 2016

Annex – Schedule

Title of the Event	CODE	CA	RegNat	Location	11 - 22 Jan	25 Jan - 5 Feb	8 - 19 Feb	22 - 4 Mar	7 - 18 Mar	21 Mar - 1 Apr	4 - 15 Apr	18 - 29 Apr	2 - 13 May	16 - 27 May	30 May - 10 Jun	13 - 24 Jun	27 Jun - 8 Jul	11 - 22 Jul	25 Jul - 5 Aug	8 - 19 Aug	22 Aug - 2 Sep	5 - 16 Sep	19 - 30 Sep	3 - 14 Oct	17 - 28 Oct	1 - 11 Nov	14 - 25 Nov	28 - 9 Dec	12 - 23 Dec	
Cross-government workshop on Paris Agreement outcomes and steps for NDC implementation	UA.1.N	C1	UA	Kiev											TBC															
Provision of MRV training in specific sectors (within overarching support provided by PMR)	UA.2.N	C3	UA	Kiev																										
MEMR-led conference on ETS MRV (with PMR and GIZ)	UA.3.N	C3	UA	Kiev																										
Support in drafting the framework ETS law for parliamentary approval	UA.4.N	C5	UA	Kiev																										
Regional implementation workshop following UNFCCC Ad Hoc group on Paris Agreement (June 2016)	#1	C1	REG	BRATSKLAVA (TBC)																										
Regional UNFCCC pre-COP22 workshop	#2	C1	REG	TBC																										
Climate finance and new (market) mechanisms: Summary report and discussion sessions	#3	C1	REG	TBC																										
Possible cooperation with CFEI and Covenant of Mayors events	#4	C2	REG	N/A																										
Regional Workshop to support integrated approaches for MRV systems	#5	C3	REG	Kiev?																										
Regional Workshop/Forum to support 2050 policy framework formulation	#6	C4	REG	TBC																										
Brief reviews on key issues for improved long-term (2050) strategy and policy formulation at national level. (KE)	#7	C4	REG	YEREVAN																										
Building capacity for the assessment of vulnerability of Ukraine's National Forests to climate change	036-UA	C4	UA	Kiev																										
Development of potential in the elaboration of national policy on regulation of CO2 emissions and energy consumption by road transport	043-UA	C2	UA	Kiev																										
Capacity building of the national GHG inventory systems	041-UA	C3	UA	Kiev																										
Assessment of the system for strategic decisions on climate change	063-UA	C2	UA	Kiev																										
Concept of low-carbon development of land use and wetland ecosystems restoration	067-UA	C2	UA	Kiev																										
Renewable energy policy capacity building	058-UA	C2	UA	Kiev																										
Forestry sector inventory improvements	070-UA	C3	UA	Kiev																										
Improving reporting system for carbon storage and emissions accounting from harvested wood products	065-UA	C3	UA	Kiev																										
Assistance in development of inventories and reporting of hazardous (SO2, NOx, dust) and greenhouse gas emissions from large combustion plants	UA-EFAPP-1	C2	UA	Kiev	Application Development and TdD Development													Implementation to be confirmed												
Development of a Concept for National Strategy on adaptation of agriculture to climate change	UA-EFAPP-2	C2	UA	Kiev	Application Development and TdD Development													Implementation to be confirmed												
Advice on establishing the Competent Authority (CA)	UA-EFAPP-3	C5	UA	Kiev	Application Development and TdD Development													Implementation to be confirmed												
Assistance in implementation of Regulation (EC) 843/2008 on E-gases	UA-EFAPP-4	C5	UA	Kiev	Application Development and TdD Development													Implementation to be confirmed												